

Substitute for form 1449/PTO		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>		Application Number	10/614,412
		Filing Date	July 7, 2003
		First Named Inventor	Takeshi NAKAO
		Art Unit	2834 3729
		Examiner Name	Unknown TAI VAN NGUYEN
Sheet	1	of	1
		Attorney Docket Number	36856.1101

U.S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

**Examiner
Signature**

Mr Nguyen

Date
Considered

5/03/04

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance
and consider, include copy of this form with next communication to applicant. MPEP 609.04-3.E.1.c

and not considered, include copy of this form with next communication to applicant.
2Enter the application designation number (optional). 3See *Kind Codes of USPTO Patent documents* at www.uspto.gov or MPEP 901.04. 3 Enter

¹ Applicant unique citation designation number (optional).² See kind Codes of USPTO Patent documents.³ Indicate by the two letter code (WIPO Standard ST 3).⁴ For Japanese patent documents, the indication of the year of the

Office that issued the document by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the serial number of the patent document, 5 Kind of document by the appropriate symbols as indicated on the

reign of the Emperor must precede the serial number of the patent document. - Kind of document by the C.P.T. -

document under WIPO Standard ST. 16 if possible.⁸ Applicant is to place a check mark here if English language text is to be used.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14.



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I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Date: January 29, 2004


Christine M. Spivey

PATENT

36856.1101

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Takeshi NAKAO et al.	Art Unit: 2834
Serial No.: 10/614,412	Examiner: Unknown
Filed: July 7, 2003	
Title: SURFACE ACOUSTIC WAVE DEVICE AND MANUFACTURING METHOD THEREFOR	

INFORMATION DISCLOSURE STATEMENT

Mail Stop DD
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. § 1.56, submitted herewith are copies of three (3) references cited in the enclosed Chinese Notice of First Office Action issued in a corresponding Chinese Patent Application. For the Examiner's convenience, we have enclosed an English translation of the Chinese Notice of First Office Action from the corresponding Chinese Patent Application and a completed Form PTO-1449. Although the Chinese Notice of First Office Action does not mention U.S. Patent No. 5,432,392, Applicants submit it in the Information Disclosure Statement as it is related to German

U.S. Serial No. 10/614,412

January 29, 2004

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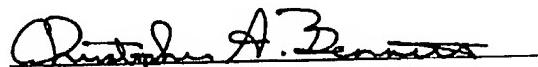
reference No. DE 44 00 980 C2. The statement is not a representation that all of the information cited is necessarily effective as prior art against the application.

I hereby state that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than 3 months prior to the filing of this statement, and that this is the first citation of these prior art references by a foreign patent office in a counterpart foreign patent application. Accordingly, no fee is necessary for the filing of this statement. Should the Commissioner determine otherwise, the Commissioner is authorized to charge Deposit Account No. 50-1353 for any fee shortages, including the petition fee under 37 C.F.R. § 1.17(p).

Applicants respectfully request that the disclosed references be made of record in the subject application.

Respectfully submitted,

Date: January 29, 2004



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FORM PTO-1449 (MODIFIED)			ATTY. DOCKET NO. 36856.1101		SERIAL NO.: Unknown	
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANTS INFORMATION DISCLOSURE STATEMENT (USE SEVERAL SHEETS IF NECESSARY)			APPLICANT(S): Takeshi NAKAO et al.			
Sheet	1	of	1	FILING DATE: Unknown	GROUP: 2834 3729	
U.S PATENT DOCUMENTS						
Examiner Initial	Document Number	Date	Name	Class	Filing Date Subclass if appropriate	
<u>TN</u>	AA 5,302,877	04/1994	Sato et al.			
	AB 5,418,866	05/1995	Chu			
	AC 5,953,433	09/1999	Fujimoto et al.			
	AD 5,999,068	12/1999	Tsutsumi et al.			
	AE 5,847,486	12/1998	Kadota et al.			
	AF 6,366,002	04/2002	Kadata, Michio			
<u>TN</u>	AG 6,437,668	08/2002	Nakao et al.			
FOREIGN PATENT DOCUMENTS						
	Document Number	Date	Country	Class	Filing Date Subclass if appropriate	
<u>TN</u>	AH 10-233645 A (With English Abstract)	9/1998	Japan			
	AI 11-298290 A (With English Abstract)	10/1999	Japan			
	AJ EP 0 860 943 A2	08/1998	Europe			
<u>TN</u>	AK EP 1 061 646 A1	12/2000	Europe			
AL						
AM						
AN						
AO						
AP						
OTHER ART (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)						
	AQ					
	AR					
	AS					
	AT					
EXAMINER	<u>Var Nguyen</u>			DATE CONSIDERED	<u>5/03/04</u>	
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.						

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Takeshi NAKAO et al.	
Divisional Application of Parent Serial No.: 09/964,831	
Filing Date: Concurrently herewith	
For: SURFACE ACOUSTIC WAVE DEVICE AND MANUFACTURING METHOD THEREFOR	

INFORMATION DISCLOSURE STATEMENT

Mail Stop PATENT APPLICATION
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

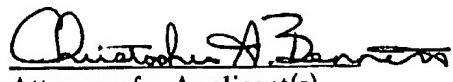
Sir:

In accordance with 37 CFR §1.56, and in recognition of their duty to disclose to the United States Patent and Trademark Office relevant information known to be material to patentability, Applicants herewith submit herewith an Information Disclosure Statement (Form PTO-1449), which lists all the prior art references that were listed in the Parent Application No. 09/964,831, currently pending. Accordingly, a copy of these references can be found in the Parent Application No. 09/964,831, currently pending. The statement is not a representation that all of the information cited is necessarily effective as prior art against the application.

Applicants respectfully request that the disclosed references be made of record in the subject application.

Respectfully submitted,

Date: July 7, 2003


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